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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James Peterson, et al.

Application No.: 09/654,627

Filed: September 5, 2000

For: SYSTEM AND METHOD FOR TAX  
SENSITIVE PORTFOLIO  
OPTIMIZATION

Confirmation No.: 7438

Group No.: 3624

Examiner: Ella Colbert

**SUBMISSION OF REVOCATION OF  
PRIOR POWERS AND APPOINTMENT  
OF NEW COUNSEL BY ASSIGNEE**

235 Montgomery Street, 30<sup>th</sup> Floor  
San Francisco, CA 94104  
(415) 954-4400

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 10-20-05.  
FARELLA BRAUN + MARTEL LLP

Dated: 10-20-05 By: Carolyn Schnurmacher  
Carolyn Schnurmacher

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

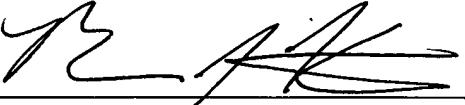
Sir:

Enclosed for filing please find a duly executed Revocation of Prior Powers and Appointment of New Counsel by Assignee for the above-identified application which specifies an Assignment recordal date of September 5, 2000, at Reel 11066, Frame 875 and shows Assignee's entire right, title and interest to establish ownership.

Respectfully submitted,

FARELLA BRAUN + MARTEL LLP

By:

  
Brian J. Keating (Reg. No. 39,520)

Attorneys for Applicant(s)

Date: October 19, 2005



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POWERS AND APPOINTMENT OF  
NEW COUNSEL BY ASSIGNEE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

CHARLES SCHWAB & CO., INC., assignee of the entire right title and interest in the above-identified application by assignment dated September 5, 2000, which assignment was recorded in the Patent and Trademark Office on September 5, 2000, at Reel 11066, frame 875 hereby revokes all prior powers of attorney and appoints the practitioners associated with the Customer Number below as attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected herewith:

**CUSTOMER NO.: 56,633**

Please direct all correspondence regarding this application to the following:

FARELLA BRAUN + MARTEL LLP  
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San Francisco, CA 94104

Telephone: (415) 954-4400  
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Dated: October 8, 2005

CHARLES SCHWAB & CO., INC.

By: \_\_\_\_\_  
Name: Yusuf Cassim  
Title: Vice President & Associate General Counsel